

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

10/500195 Page 1
FORM PTO-1390
DT02 Rec'd PCT/PTO 24 JUN 2004

Docket No. : 865-007us
U.S. Application No. : TBA
International Application No.: PCT/US02/41406
International Filing Date. : December 23, 2002 (23 December 2002)
Title of Invention : SYSTEM FOR DIGITAL USERS TO MANAGE RECEIVED ANALOG INFORMATION
Applicant(s) for (DO/EO/US) : Baird et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)].
 - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ has been transmitted by the international Bureau.
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C.371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c)(3)].
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ have been transmitted by the International Bureau.
 - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)].
9. ☒ An signed oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)].

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment to be entered at filing.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ (other items or information)

EXPRESS MAIL No.: EL983224799US Deposited: June 24, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10; on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Sandria Cirillo

June 24, 2004

Date

BEST AVAILABLE COPY

U.S. Application No. (if known, see 37 C.F.R. 1.50):

International Application No. : PCT/US02/41406

10/500195

Docket No: 865-007us

17. ☒ The following fees are submitted:

CALCULATIONS	PTO USE ONLY
--------------	--------------

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:

☐ Search Report has been prepared by the EPO or JPO..... \$ 920.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 720.00
☒ No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 770.00
☐ Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1040.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33 (2) to (4):..... \$ 100.00,

ENTER APPROPRIATE BASIC FEE AMOUNT:

Surcharge of \$ 130.00 for furnishing the oath or declaration later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(e)]

Claims	filed	Extra	Rate
Total Claims	31-20= 11	x	\$ 18.=
Indep. Claims	5-3= 2	2 x	\$ 86 =
Multiple Dependent Claims (if applicable) + \$ 230.=			

TOTAL OF ABOVE CALCULATIONS: \$1140.00

Reduction by ½ for filing by small entity, if applicable. Verified Small Entity
Statement must be filed also. [Note 37 CFR 1.9, 1.27, 1.28]

(divided by 2) \$570.00

SUBTOTAL: \$570.00

Processing fee of \$ 130.00 for furnishing the English Translation later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE: \$570.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31].

TOTAL FEES ENCLOSED: \$570.00

AMOUNT TO BE REFUNDED: Refunded \$

AMOUNT TO BE CHARGED: Charged \$

BEST AVAILABLE COPY

10/500195

DT11 Rec'd PCT/PTO 24 JUN 2004

a) X A check in the amount of \$570.00 to cover the above fees is enclosed.

b) Please charge my Deposit Account No. 19-2825 in the amount of \$.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.

c) X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2825, order number 865-007us. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joseph Sofer, Esq.
Sofer & Haroun, LLP
317 Madison Avenue
Suite 910
New York, NY 10017
Customer No.: 39600

Joseph Sofer
Name


signature

34,438. June 24, 2004
Reg. No. Date

BEST AVAILABLE COPY

DT02 Rec'd PCT/PTO 24 JUN 2004
10/500195

Docket No. 865-007us

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baird et al.
Serial No. : TBA
Filed : June 24, 2004
Title : SYSTEM FOR DIGITAL USERS TO MANAGE RECEIVED....

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL983224799US

Date of Deposit June 23, 2004

I hereby certify that the following attached paper(s) or fee

- 1)Patent Application (56 pages)
- 2)Patent Transmittal
- 3)Return Postcard
- 4)Express Mail Certificate
- 5)Preliminary Amendment
- 6)Amendment Transmittal
- 7)Executed Declaration
- 8)Check for \$570.00
- 9)Formal Drawings (Figs. 1-30)

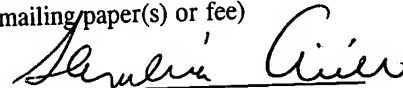
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

Sandria Cirillo

(Typed or printed name of person
mailing paper(s) or fee)



(Signature of person mailing
paper(s) or fee)

Mailing Address:
SOFTER & HAROUN, LLP
317 Madison Avenue, Suite 910
New York, New York 10017
Tel:(212) 697-2800; fax (212) 697-3004

BEST AVAILABLE COPY

10/500195

1 Rec'd PCT/PTO 24 JUN 2004

PATENTDocket No. 865-007usIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baird et al. Group Art Unit: TBA
 Serial No. : TBA Examiner: TBA
 Filed : June 24, 2004
 For : SYSTEM FOR DIGITAL USERS TO MANAGE RECEIVED ANALOG INFORMATION

AMENDMENT FEE TRANSMITTAL

Mail Stop Non-Fee Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.☐ The additional fee has been calculated as shown below:CLAIMS AS AMENDED

	Claims Remaining After Amendment		Highest No. Covered by Previous Payments	Present Extra	Rate	Additional Fee
Total Claims*	31	-	31	=0	x \$9.00	\$_____
Independent Claims	5	-	5	=0	x \$43.00	\$_____
Multiple Dependent Claim(s)	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$260.00 to additional fee.)					\$_____
					Total:	\$_____

☐ Verified Statement of "Small Entity" Status Under 37 CFR § 1.27
 filed _____. Reduced Fees Under 37 CFR § 1.9(f)
 (50% of total) paid herewith.

\$_____

* Includes all independent and single dependent claims and all claims referred to
 in multiple dependent claims. See 37 C.F.R. § 1.75(c).

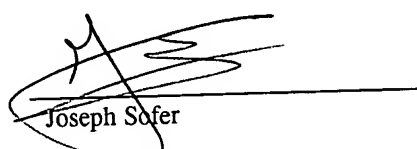
- ☐ Charge fee to Deposit Account No. 19-2825 . Order No. _____
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to Deposit Account No. 19-2825. Order No. 865-007US.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☐ _____ Page(s) of substitute Sequence Listing
- ☐ _____ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$359.00 to cover the filing fee is attached.

Respectfully submitted,

SOFER & HAROUN L.L.P.

Dated: June 24, 2004

By:


Joseph Sofer

Registration No. 34,438

Mailing Address:

SOFER & HAROUN L.L.P.
317 Madison Avenue
New York, New York 10017
(212) 697-2800
Fax: (212) 697-3004